

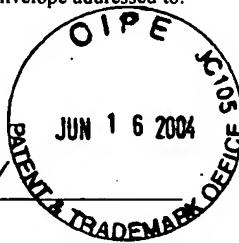
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On June 11, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: MJD
Mark T. Davis



JFW
PATENT
Attorney Docket No.: 02307V-142800US
Client Reference No.: B04-048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PAUL A. BARTLETT

Application No.: 10/786,615

Filed: February 24, 2004

For: PEPTIDE BETA-STRAND
MIMICS BASED ON PYRIDINONES,
PYRAZINONES, PYRIDAZINONES,
AND TRIAZINONES

Examiner: Not Yet Assigned

Art Unit: 1623

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

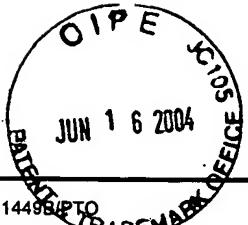
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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60236645 v1



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| Substitute for form 1449B PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| Sheet | 3 | of | | Application Number | 10/786,615 |
| | | | | Filing Date | February 24, 2004 |
| | | | | First Named Inventor | Bartlett, Paul A. |
| | | | | Art Unit | 1623 |
| | | | | Examiner Name | Not Yet Assigned |
| | | | | Attorney Docket Number | 02307V-142800US |

| U.S. PATENT DOCUMENTS+ | | | | | |
|-------------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | AA | US-4,123,610 | 10-31-1978 | Summerton et al. | |
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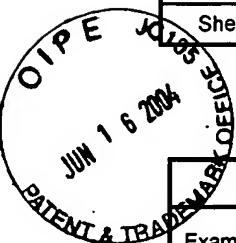
| FOREIGN PATENT DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | |
| AK | WO | 01/14412 | A1 | 03-01-2001 | T ⁶ |

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|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Substitute for form 1449B/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Application Number</i> | 10/786,615 |
| (use as many sheets as necessary) | | | | <i>Filing Date</i> | February 24, 2004 |
| | | | | <i>First Named Inventor</i> | Bartlett, Paul A. |
| | | | | <i>Art Unit</i> | 1623 |
| | | | | <i>Examiner Name</i> | Not Yet Assigned |
| Sheet | 4 | of | | <i>Attorney Docket Number</i> | 02307V-142800US |



| NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----------------------|---|--|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| | AL | CHEN, L. et al. "1,3-dipolar Cycloaddition of 5-substituted-1-methyl-3-oxidopyridiniums," <u>J. Chin. Chem. Soc.</u> 1984, pp. 277-280, Vol. 31. | | T ² |
| | AM | CHEN, L. et al. "Synthesis of N-substituted 1,6-dihydro-3(2H)-Pyridinones and 1-acyl-3-piperidones," <u>Heterocycles</u> 1984, pp. 2769-2773, Vol. 22, No. 12. | | |
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| | AP | FU, Jian-Min et al., "Design and Synthesis of a Pyridone-Based Phosphotyrosine Mimetic", 1998, <u>Biorganic & Medicinal Chemistry Letters, Elsevier Science Ltd.</u> , Vol. 8, No. 19, pp. 2813-2816. | | |
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| | AV | ZIEGLER, F.E. et al. "The Claisen Rearrangement in Indole Alkaloid Synthesis. The Total Synthesis of (+)-Tabersonine," <u>J. Am. Chem. Soc.</u> October 31, 1973, pp. 7458-7464, Vol. 95. | | |

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|--------------------|-----------------|
| Examiner Signature | Date Considered |
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